

11-26-01

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ADAMS & WILKS

ATTORNEYS AND COUNSELORS AT LAW
50 BROADWAY
31st FLOOR
NEW YORK, NEW YORK 10004

11/21/01

J1048 U.S. PTO

BRUCE L. ADAMS
VAN C. WILKS

JOHN R. BENEFIEL
PAUL R. HOFFMAN
TAKESHI NISHIDA
FRANCO S. DE LIGUORI

* NOT ADMITTED IN NEW YORK
* REGISTERED PATENT AGENT

BY EXPRESS MAIL ON
November 21, 2001
(ET 256 765 549 US)

RIGGS T. STEWART
(1924-1993)

TELEPHONE
(212) 809-3700

FACSIMILE
(212) 809-3704

Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Manabu OUMI (5) Takashi NIWA
(2) Susumu ICHIHARA (6) Nobuyuki KASAMA
(3) Kenji KATO (7) Hidetaka MAEDA
(4) Yasuyuki MITSUOKA (8) Yoko SHINOHARA

TITLE: METHOD OF FABRICATING OPTICAL APERTURE AND METHOD OF
FABRICATING PROBE FOR NEAR FIELD LIGHT DEVICE

DOCKET NO: S004-4470

S I R:

Kindly find enclosed herewith for filing the documents
checked below. Any fees or charges not covered by the
accompanying check should be charged to our Deposit Account
No. 01-0268.

APPLICABLE ITEMS CHECKED:

XX PATENT APPLICATION (pages 1-59 of specification, 24
claims (pages 55-59) and abstract of the
disclosure (pg.60)

DESIGN APPLICATION (specification, claim, declaration and
power of attorney and photograph(s))

XX FORMAL DRAWINGS (32 sheets)

INFORMAL DRAWINGS (sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT
(to be recorded and returned to the undersigned)

J1050 U.S. PTO
09/997484
11/21/01

09997484-112101

XX PRIORITY CLAIM - applicant(s) claim the benefit of
priority of the following application(s):

Japanese Patent Appln. No. 2000-355972 filed Nov. 22, 2000
Japanese Patent Appln. No. 2000-367560 filed Dec. 1, 2000
Japanese Patent Appln. No. 2000-373307 filed Dec. 7, 2000
Japanese Patent Appln. No. 2000-377253 filed Dec. 12, 2000
Japanese Patent Appln. No. 2000-377254 filed Dec. 12, 2000

XX PRIORITY DOCUMENT(s)

 Enclosed XX Not Enclosed

 VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

 OTHER -

XX FEE

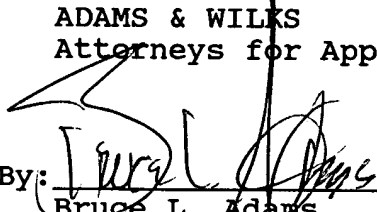
A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 740.00	\$
<u> 0 </u> Independent Claims in Excess of 3	\$	\$
<u> 4 </u> Total Claims in Excess of 20	\$ 72.00	\$
<u> </u> Multiple Claim Fee	\$	\$
<u> </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 812.00	\$

Respectfully submitted,

ADAMS & WILKS
Attorneys for Applicants

50 Broadway
31st Floor
New York, NY 10004
(212) 809-3700

By: 
Bruce L. Adams
Reg. No. 25,386

Re: New patent application of Manabu OUMI et al.

for METHOD OF FABRICATING OPTICAL APERTURE AND METHOD OF
FABRICATING PROBE FOR NEAR FIELD LIGHT DEVICE

comprising transmittal letter, title, specification (pgs.1-59),
24 claims (pgs.55-59), abstract of the disclosure (pg.60), 32
sheets of formal drawings containing thereon Figs. 1-32b, express
mail certification and check in the amount \$812.00

Attorney's Docket No: S004-4470

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: ET 256 765 549 US

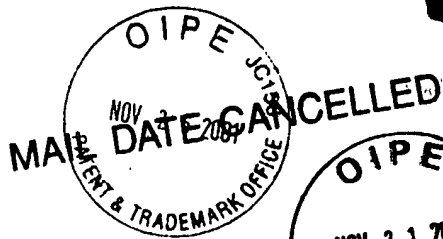
Date of Deposit: November 21, 2001

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Addressee" service under 37 CFR §1.10 on the date indicated above and
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PATENTS, Washington, DC 20231.



Michael Ruas

009444 4846560



ADAMS & WILKS
ATTORNEYS AND COUNSELORS AT LAW
50 BROADWAY
31st FLOOR
NEW YORK, NEW YORK 10004



BRUCE L. ADAMS
VAN C. WILKS*

JOHN R. BENEFIEL*
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RIGGS T. STEWART
(1924-1993)

TELEPHONE
(212) 809-3700

FACSIMILE
(212) 809-3704

November 23, 2001

Robert Clarke
Legal Advisor
Office of Patent Legal Administration
U.S. Patent and Trademark Office
Washington, DC 20231

*Receipt date
Granted is 11/21/01
E. Jones from OPLA.*

Re: Returned PTO Express Mail Envelope--
Patent Application Filing Dates: November 21, 2001

Dear Mr. Clarke:

In accordance with your telephone instructions of this date, we are transmitting herewith by First Class Mail, postage prepaid, an Express Mail envelope (unopened) which was duly delivered to the U.S. Postal Service on November 21, 2001 and which was returned undelivered to us today accompanied by the handwritten notation "EMS temporarily suspended."

The Express Mail envelope contains new patent applications which require a U.S. filing date of November 21, 2001.

Should additional information be required, please contact the undersigned.

Very truly yours,

Bruce L. Adams
Reg. No. 25,386

BLA:mr